



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**FUKUSHIMA, Yoshimitsu, et al.**

Attention: **Applications Division**

Serial Number: **10/589,254**

Group Art Unit: **1651**

Filed: **August 11, 2006**

P.T.O. Confirmation No.: **6658**

For: **(ALPHA)-GLUCOSIDASE INHIBITOR**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: March 21, 2008

Sir:

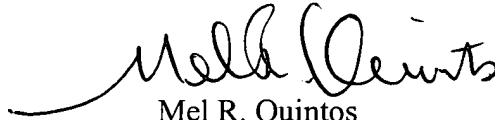
Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt and the Notice of Acceptance of Application under 35 U.S.C. 371 and 37 CFR 1.495, we noted an error in that the **filing date is incorrect**. Copies of the **Transmittal Letter to the United States Designated/Elected Office filed on August 11, 2006; Return Receipt Date-Stamped Post Card USPTO stamped as filed on August 11, 2006;** are enclosed which indicate the correct information. We are enclosing a copy of the **Official Filing Receipt, Bibliographic Data Sheet, and the Notice of Acceptance of Application under 35 U.S.C. 371 and 37 CFR 1.495 Notices;** with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our  
Deposit Account No. 01-2340.

Respectfully submitted,

KRATZ, QUINTOS & HANSON



Mel R. Quintos  
Attorney for Applicants  
Reg. No. 31,898

MRQ/lrj

Atty. Docket No. **060595**  
Suite 400  
1420 K Street, N.W.  
Washington, D.C. 20005  
(202) 659-2930



**23850**

PATENT & TRADEMARK OFFICE

Enclosures: Transmittal Letter filed on August 11, 2006  
Return Receipt Date-Stamped Post Card USPTO stamped as filed on 8/11/06  
Official Filing Receipt  
Bibliographic Data Sheet  
Notice of Acceptance of Application



CARD NO: 21366

U.S. Patent Application      Docket No: 060595  
Serial No: NEW APPL.      Filed: 08/00/06  
Patent Number:      Issued:  
Applicant(s): FUKUSHIMA, Yoshimitsu, et al.

Papers filed herewith on: 08/00/06

Fees: \$ 940.00  
Assignment

New Application  
Drawings  
Declaration

Other: New 371 Appl-25 pgs; drwgs-10 Sheets; Prel Amend;  
Rec Assn Cover Sheet; IDS w/PTO-1449, 5 refs & *ISR*



# COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

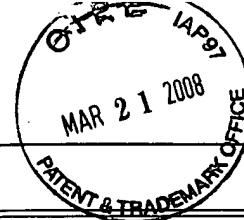
MRQ/JAZ



IAP15 Rec'd PCT/PTO 11 AUG 2006

ATTORNEY'S DOCKET NO: 060595

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: August 11, 2006
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. PATENT & TRADEMARK OFFICE 10/589254
INTERNATIONAL APPLICATION NO.: PCT/JP05/02007	INTERNATIONAL FILING DATE: February 10, 2005	PRIORITY DATE CLAIMED: February 13, 2004
TITLE OF INVENTION: <b>RECORDING MEDIUM DRIVER AND RECORDER/REPRODUCER</b>		
APPLICANT(S) FOR DO/EO/US: <b>Yoshimitsu FUKUSHIMA, Katsuhiko TANIMOTO, Takeshi HAMADA, Yuji MORITA and Hitoshi NAGATA</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with PTO-1449, 5 references and International Search Report.</p> <p>12. <input checked="" type="checkbox"/> a. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p><input checked="" type="checkbox"/> b. ASSIGNEE(s) NAME(s) AND ADDRESS <u>PIONEER CORPORATION, Tokyo, Japan</u> Please publish the assignee data with the application.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: <u>10 Sheets of Drawings.</u></p>		



10/589,254 Recording medium driver and recorder/reproducer

03-16-  
2008::13:05:28**Bibliographic Data**

Application Number:	10/589,254	Customer Number:	23850
Filing or 371 (c) Date:	08-14-2006	Status:	Application Dispatched from Preexam, Not Yet Docketed
Application Type:	Utility	Status Date:	03-06-2008
Examiner Name:	-	Location:	ELECTRONIC
Group Art Unit:	2627	Location Date:	-
Confirmation Number:	6658	Earliest Publication No:	US 2007-0169134 A1
Attorney Docket Number:	060595	Earliest Publication Date:	07-19-2007
Class / Subclass:	720/640	Patent Number:	-
First Named Inventor:	Yoshimitsu Fukushima , Saitama, (JP)	Issue Date of Patent:	-

Title of Invention: Recording medium driver and recorder/reproducer

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

08/11/2006

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/589,254	08/14/2006	2627	900	060595	6	2

CONFIRMATION NO. 6658

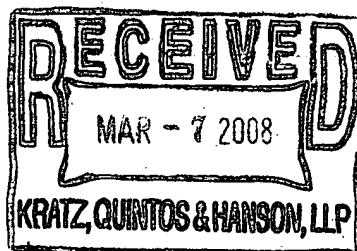
23850

KRATZ, QUINTOS & HANSON, LLP  
1420 K Street, N.W.  
Suite 400  
WASHINGTON, DC 20005

## FILING RECEIPT



Date Mailed: 03/06/2008



Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

## Applicant(s)

Yoshimitsu Fukushima, Saitama, JAPAN;  
Katsuhiko Tanimoto, Saitama, JAPAN;  
Takeshi Hamada, Saitama, JAPAN;  
Yuji Morita, Saitama, JAPAN;  
Hitoshi Nagata, Saitama, JAPAN;

## Assignment For Published Patent Application

PIONEER CORPORATION, Tokyo, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 23850

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/02007 02/10/2005

## Foreign Applications

JAPAN 2004-036780 02/13/2004

If Required, Foreign Filing License Granted: 04/07/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/589,254**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

3/8/08

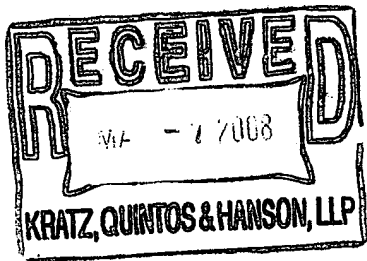


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/589,254	FIRST NAMED APPLICANT Yoshimitsu Fukushima	ATTY. DOCKET NO. 060595
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23850  
KRATZ, QUINTOS & HANSON, LLP  
1420 K Street, N.W.  
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WASHINGTON, DC 20005



INTERNATIONAL APPLICATION NO. PCT/JP05/02007	
I.A. FILING DATE 02/10/2005	PRIORITY DATE 02/13/2004

CONFIRMATION NO. 6658  
371 ACCEPTANCE LETTER



Date Mailed: 03/06/2008

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

08/11/2006  
08/14/2006  
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1),  
(c)(2) and (c)(4) REQUIREMENTS

08/11/2006  
08/14/2006  
DATE OF COMPLETION OF ALL  
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 08/14/2006
- Copy of the International Search Report filed on 08/14/2006
- Preliminary Amendments filed on 08/14/2006
- Information Disclosure Statements filed on 08/14/2006
- Oath or Declaration filed on 08/14/2006
- Request for Immediate Examination filed on 08/14/2006
- U.S. Basic National Fees filed on 08/14/2006
- Assignment filed on 08/14/2006
- Priority Documents filed on 08/14/2006
- Specification filed on 08/14/2006
- Claims filed on 08/14/2006
- Abstracts filed on 08/14/2006
- Drawings filed on 08/14/2006

DOCKETED  
DATE 3/8/08